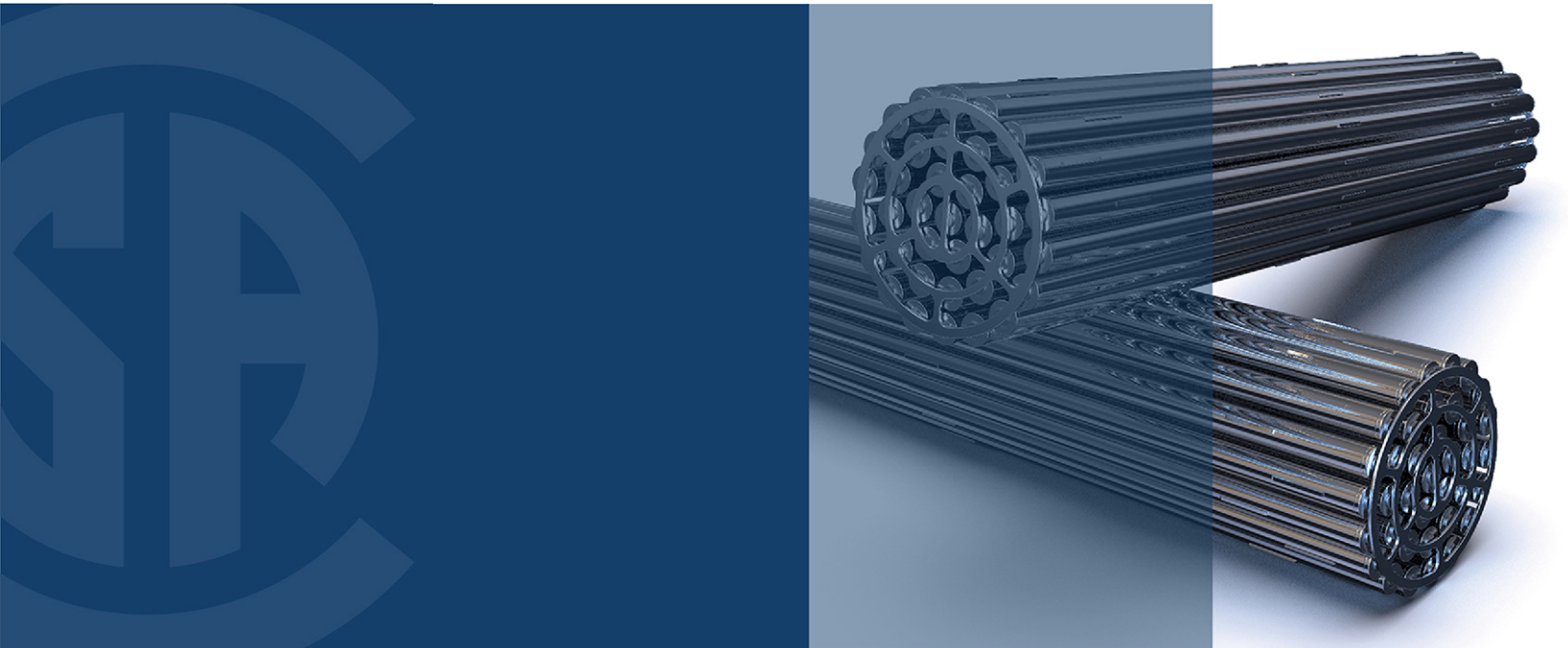


Reliability and maintenance programs for nuclear power plants



Legal Notice for Standards

Canadian Standards Association (operating as “CSA Group”) develops standards through a consensus standards development process approved by the Standards Council of Canada. This process brings together volunteers representing varied viewpoints and interests to achieve consensus and develop a standard. Although CSA Group administers the process and establishes rules to promote fairness in achieving consensus, it does not independently test, evaluate, or verify the content of standards.

Disclaimer and exclusion of liability

This document is provided without any representations, warranties, or conditions of any kind, express or implied, including, without limitation, implied warranties or conditions concerning this document’s fitness for a particular purpose or use, its merchantability, or its non-infringement of any third party’s intellectual property rights. CSA Group does not warrant the accuracy, completeness, or currency of any of the information published in this document. CSA Group makes no representations or warranties regarding this document’s compliance with any applicable statute, rule, or regulation.

IN NO EVENT SHALL CSA GROUP, ITS VOLUNTEERS, MEMBERS, SUBSIDIARIES, OR AFFILIATED COMPANIES, OR THEIR EMPLOYEES, DIRECTORS, OR OFFICERS, BE LIABLE FOR ANY DIRECT, INDIRECT, OR INCIDENTAL DAMAGES, INJURY, LOSS, COSTS, OR EXPENSES, HOWSOEVER CAUSED, INCLUDING BUT NOT LIMITED TO SPECIAL OR CONSEQUENTIAL DAMAGES, LOST REVENUE, BUSINESS INTERRUPTION, LOST OR DAMAGED DATA, OR ANY OTHER COMMERCIAL OR ECONOMIC LOSS, WHETHER BASED IN CONTRACT, TORT (INCLUDING NEGLIGENCE), OR ANY OTHER THEORY OF LIABILITY, ARISING OUT OF OR RESULTING FROM ACCESS TO OR POSSESSION OR USE OF THIS DOCUMENT, EVEN IF CSA GROUP HAS BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGES, INJURY, LOSS, COSTS, OR EXPENSES.

In publishing and making this document available, CSA Group is not undertaking to render professional or other services for or on behalf of any person or entity or to perform any duty owed by any person or entity to another person or entity. The information in this document is directed to those who have the appropriate degree of experience to use and apply its contents, and CSA Group accepts no responsibility whatsoever arising in any way from any and all use of or reliance on the information contained in this document.

CSA Group is a private not-for-profit company that publishes voluntary standards and related documents. CSA Group has no power, nor does it undertake, to enforce compliance with the contents of the standards or other documents it publishes.

Intellectual property rights and ownership

As between CSA Group and the users of this document (whether it be in printed or electronic form), CSA Group is the owner, or the authorized licensee, of all works contained herein that are protected by copyright, all trade-marks (except as otherwise noted to the contrary), and all inventions and trade secrets that may be contained in this document, whether or not such inventions and trade secrets are protected by patents and applications for patents. Without limitation, the unauthorized use, modification, copying, or disclosure of this document may violate laws that protect CSA Group’s and/or others’ intellectual property and may give rise to a right in CSA Group and/or others to seek legal redress for such use, modification, copying, or disclosure. To the extent permitted by licence or by law, CSA Group reserves all intellectual property rights in this document.

Patent rights

Attention is drawn to the possibility that some of the elements of this standard may be the subject of patent rights. CSA Group shall not be held responsible for identifying any or all such patent rights. Users of this standard are expressly advised that determination of the validity of any such patent rights is entirely their own responsibility.

Authorized use of this document

This document is being provided by CSA Group for informational and non-commercial use only. The user of this document is authorized to do only the following:

If this document is in electronic form:

- load this document onto a computer for the sole purpose of reviewing it;
- search and browse this document; and
- print this document if it is in PDF format.

Limited copies of this document in print or paper form may be distributed only to persons who are authorized by CSA Group to have such copies, and only if this Legal Notice appears on each such copy.

In addition, users may not and may not permit others to

- alter this document in any way or remove this Legal Notice from the attached standard;
- sell this document without authorization from CSA Group; or
- make an electronic copy of this document.

If you do not agree with any of the terms and conditions contained in this Legal Notice, you may not load or use this document or make any copies of the contents hereof, and if you do make such copies, you are required to destroy them immediately. Use of this document constitutes your acceptance of the terms and conditions of this Legal Notice.



Standards Update Service

CSA N290.9:19

June 2019

Title: *Reliability and maintenance programs for nuclear power plants*

To register for e-mail notification about any updates to this publication

- go to store.csagroup.org
- click on **CSA Update Service**

The **List ID** that you will need to register for updates to this publication is **2427120**.

If you require assistance, please e-mail techsupport@csagroup.org or call 416-747-2233.

Visit CSA Group's policy on privacy at www.csagroup.org/legal to find out how we protect your personal information.

CSA N290.9:19

***Reliability and maintenance
programs for nuclear power plants***



®A trademark of the Canadian Standards Association, operating as "CSA Group"

*Published in June 2019 by CSA Group
A not-for-profit private sector organization
178 Rexdale Boulevard, Toronto, Ontario, Canada M9W 1R3*

*To purchase standards and related publications, visit our Online Store at store.csagroup.org
or call toll-free 1-800-463-6727 or 416-747-4044.*

ISBN 978-1-4883-1782-8

*© 2019 Canadian Standards Association
All rights reserved. No part of this publication may be reproduced in any form whatsoever
without the prior permission of the publisher.*

Contents

Technical Committee on Reactor Safety and Risk Management	3
Subcommittee on Reliability and Maintenance Programs for Nuclear Power Plants	5
Preface	7
0 Objectives	8
0.1 General	8
0.2 Reactor technology	8
1 Scope	8
1.1 Reactors and other potential sources	8
1.2 Public safety and other purposes	9
1.3 New and existing facilities	9
1.4 Single and multi-reactor facilities	9
1.5 Pre-incident conditions	9
1.6 Compliance with other standards	9
1.7 Terminology	10
2 Reference documents	10
3 Definitions and abbreviations	11
3.1 Definitions	11
3.2 Abbreviations	13
4 General requirements for reliability and maintenance programs	14
4.1 Program outline	14
4.2 Development and implementation	14
4.3 Program update	17
4.4 Documentation	17
5 Identifying poised SIS/CIS for the reliability program	17
5.1 General	17
5.2 Poised SIS	18
5.3 Poised CIS	19
5.4 Integrated expert panel	20
6 Reliability program for poised SIS/CIS	20
6.1 Reliability program requirements	20
6.2 Minimum performance requirements	21
6.3 Reliability targets	21
6.4 Reliability modelling	23
6.5 Surveillance activities	24
6.6 Short-term reliability management	27
6.7 Long-term reliability monitoring for poised SIS	28
6.8 Long-term reliability monitoring for poised CIS	29

7	Reliability program for other poised systems	31
7.1	General	31
7.2	Reliability program for other poised systems	31
7.3	Performance monitoring activities	31
8	Reliability program for process systems	32
9	Maintenance program	34
9.1	General	34
9.2	Scope	34
9.3	Component categorization	34
9.4	Technical basis	35
9.5	Changes to the technical basis	35
9.6	Preventive maintenance activities	35
9.7	Condition-based maintenance	35
9.8	Aging and lifecycle management	36
9.9	Corrective maintenance	36
9.10	Post-maintenance testing or verification	36
9.11	Scheduling of maintenance activities	37
9.12	Calibration program	37
9.13	Spare parts	37
9.14	Foreign material exclusion	37
9.15	Maintenance results	38
10	Interfaces of reliability and maintenance programs — Scope of interfaces	38

Annex A (informative)	— Reliability targets for poised systems and components	42
-----------------------	---------------------------------------------------------	----

Technical Committee on Reactor Safety and Risk Management

L. Luckhardt	Baker Hughes, A GE Company, Dundas, Ontario, Canada <i>Category: Service Industry</i>	<i>Chair</i>
Mesmous	Canadian Nuclear Safety Commission (CNSC), Ottawa, Ontario, Canada <i>Category: Government and/or Regulatory Authority</i>	<i>Vice-Chair</i>
M. Buckler	Bruce Power, Tiverton, Ontario, Canada	<i>Non-voting</i>
B. Chan	Technical Standards & Safety Authority, Toronto, Ontario, Canada <i>Category: Government and/or Regulatory Authority</i>	
Q. B. Chou	Canadian Power Utility Services Ltd. (CPUS), Toronto, Ontario, Canada	<i>Non-voting</i>
R. Clavero	CANDU Owners Group Inc., Toronto, Ontario, Canada <i>Category: General Interest</i>	
D. Garrick	Canadian Nuclear Laboratories Limited (CNL), Chalk River, Ontario, Canada <i>Category: Owner/Operator/Producer</i>	
L. Gilbert	Bruce Power, Tiverton, Ontario, Canada <i>Category: Owner/Operator/Producer</i>	
S. Gyepi-Garbrah	Canadian Nuclear Safety Commission (CNSC), Ottawa, Ontario, Canada	<i>Non-voting</i>
N. Havelin	Calian Ltd., Ottawa, Ontario, Canada	<i>Non-voting</i>
R. Henry	Kinectrics NSS Ltd., Toronto, Ontario, Canada	<i>Non-voting</i>

R. Ion	MeV200 Consulting Inc., Mississauga, Ontario, Canada	<i>Non-voting</i>
W. K. Lam	Ontario Ministry of Energy, Toronto, Ontario, Canada <i>Category: Government and/or Regulatory Authority</i>	
P. Lawrence	Kinectrics Inc., Pickering, Ontario, Canada <i>Category: Service Industry</i>	
J. Luxat	McMaster University, Hamilton, Ontario, Canada <i>Category: General Interest</i>	
D. Mullin	NB Power Corporation, Lepreau, New Brunswick, Canada <i>Category: Owner/Operator/Producer</i>	
M. K. O'Neill	Ian Martin Limited, Scarborough, Ontario, Canada	<i>Non-voting</i>
Y. Parlatan	Ontario Power Generation Inc., Pickering, Ontario, Canada <i>Category: Owner/Operator/Producer</i>	
P. Santamaura	SNC-Lavalin Nuclear Inc., Mississauga, Ontario, Canada <i>Category: Service Industry</i>	
J. Lee	CSA Group, Toronto, Ontario, Canada	<i>Project Manager</i>

Subcommittee on Reliability and Maintenance Programs for Nuclear Power Plants

L. Luckhardt	Baker Hughes, A GE Company, Dundas, Ontario, Canada	<i>Chair</i>
S. Aprodu	SNC-Lavalin Nuclear / Candu Energy Inc., Mississauga, Ontario, Canada	
M. Crowe	Canadian Nuclear Laboratories Limited (CNL), Chalk River, Ontario, Canada	
R. Henry	Kinectrics NSS Ltd., Toronto, Ontario, Canada	
M. Jyrkama	University of Waterloo, Waterloo, Ontario, Canada	
P. Lawrence	Kinectrics Inc., Pickering, Ontario, Canada	
Y. Liu	Canadian Nuclear Safety Commission (CNSC), Ottawa, Ontario, Canada	
U. Mian	Bruce Power Inc., Toronto, Ontario, Canada	
D. Nesbit	NB Power Nuclear Corp, Maces Bay, New Brunswick, Canada	
M. K. O'Neill	Ian Martin Limited, Scarborough, Ontario, Canada	
P. Patel	Bruce Power, Tiverton, Ontario, Canada	
P. Von Hatten	Compass Nuclear Consulting and Services, Wasaga Beach, Ontario, Canada	

T. Walsh Ontario Power Generation Inc Darlington Nuclear,
Bowmanville, Ontario, Canada

M. Xu Canadian Nuclear Safety Commission (CNSC),
Ottawa, Ontario, Canada

J. Lee CSA Group, *Project Manager*
Toronto, Ontario, Canada

Preface

This is the first edition of CSA N290.9, *Reliability and maintenance programs for nuclear power plants*.

This Standard provides requirements and guidance regarding reliability and maintenance programs, as well as the interactions between these programs, at nuclear power plants. It reflects Canadian regulatory requirements, operating experience of the Canadian nuclear industry, and international good practices, including those of the International Atomic Energy Agency.

Users of this Standard are reminded that the site selection, design, manufacture, construction, installation, commissioning, operation, and decommissioning of nuclear facilities in Canada are subject to the *Nuclear Safety and Control Act* and its *Regulations*. The Canadian Nuclear Safety Commission might impose additional requirements to those specified in this Standard.

The CSA N-Series Standards provide an interlinked set of requirements for the management of nuclear facilities and activities. CSA N286 provides overall direction to management to develop and implement sound management practices and controls, while the other CSA Group nuclear Standards provide technical requirements and guidance that support the management system. This Standard works in harmony with CSA N286 and does not duplicate the generic requirements of CSA N286; however, it may provide more specific direction for those requirements.

This Standard was prepared by the Subcommittee on Reliability and Maintenance Programs for Nuclear Power Plants, under the jurisdiction of the Technical Committee on Reactor Safety and Risk Management and the Strategic Steering Committee on Nuclear Standards, and has been formally approved by the Technical Committee.

Notes:

- 1) *Use of the singular does not exclude the plural (and vice versa) when the sense allows.*
- 2) *Although the intended primary application of this Standard is stated in its Scope, it is important to note that it remains the responsibility of the users of the Standard to judge its suitability for their particular purpose.*
- 3) *This Standard was developed by consensus, which is defined by CSA Policy governing standardization — Code of good practice for standardization as “substantial agreement. Consensus implies much more than a simple majority, but not necessarily unanimity”. It is consistent with this definition that a member may be included in the Technical Committee list and yet not be in full agreement with all clauses of this Standard.*
- 4) *To submit a request for interpretation of this Standard, please send the following information to inquiries@csagroup.org and include “Request for interpretation” in the subject line:*
 - a) *define the problem, making reference to the specific clause, and, where appropriate, include an illustrative sketch;*
 - b) *provide an explanation of circumstances surrounding the actual field condition; and*
 - c) *where possible, phrase the request in such a way that a specific “yes” or “no” answer will address the issue.*

Committee interpretations are processed in accordance with the CSA Directives and guidelines governing standardization and are available on the Current Standards Activities page at standardsactivities.csa.ca.
- 5) *This Standard is subject to review within five years from the date of publication. Suggestions for its improvement will be referred to the appropriate committee. To submit a proposal for change, please send the following information to inquiries@csagroup.org and include “Proposal for change” in the subject line:*
 - a) *Standard designation (number);*
 - b) *relevant clause, table, and/or figure number;*
 - c) *wording of the proposed change; and*
 - d) *rationale for the change.*

CSA N290.9:19

Reliability and maintenance programs for nuclear power plants

0 Objectives

0.1 General

Reliable safety-related structures, systems, and components (SSC) are necessary to ensure that the risk to members of the public from a nuclear incident at a nuclear power plant (NPP) is low. The purpose of this Standard is to define the requirements for a reliability program and the activities that integrate the reliability and maintenance programs for safety-related SSC at an NPP.

The activities necessary to manage the reliability of safety-related SSC include

- a) identifying and classifying SSC;
- b) defining the safety-related performance requirements of the SSC;
- c) confirming the operability of SSC through surveillance;
- d) categorizing components to prioritize maintenance;
- e) sustaining the reliability of SSC through maintenance;
- f) monitoring, assessing, and reporting the performance and condition of the SSC;
- g) correcting performance deficiencies; and
- h) monitoring the effectiveness of the reliability and maintenance programs.

Notes:

- 1) *The safety-related performance requirements of an SSC might be defined in one or more of the following:*
 - a) *applicable codes and standards;*
 - b) *deterministic safety analysis;*
 - c) *probabilistic safety assessment; or*
 - d) *design documentation.*
- 2) *This Standard does not repeat requirements from other CSA standards. Instead references are provided to other CSA standards where they address items in Clause 0.1.*

0.2 Reactor technology

The Canadian nuclear industry uses CANDU reactor technology. However, this Standard is written to be technology neutral, that is, to apply to all water-cooled power reactors.

Note: *CANDU® (CANada Deuterium Uranium) is a registered trademark of Atomic Energy of Canada Ltd., used under exclusive license by CANDU Energy Inc., a member of the SNC-Lavalin Group.*

1 Scope

1.1 Reactors and other potential sources

This Standard provides the requirements and guidance for SSC at a water-cooled NPP. This Standard applies to SSC associated with

- a) water-cooled power reactors; and

- b) other potential sources of significant radioactive releases to the environment.

Notes:

- 1) *This Standard may be used to provide guidance for nuclear facilities other than water-cooled NPPs, e.g., research reactors.*
- 2) *“Other potential sources of significant radioactive releases to the environment” include wet storage bays and dry used fuel storage facilities.*

1.2 Public safety and other purposes

The requirements of this Standard apply only to safety-related SSC for which one or both of the following conditions apply:

- a) the failure of the SSC results in a nuclear incident; or
- b) the failure of the SSC impairs the ability to mitigate or monitor a nuclear incident.

Notes:

- 1) *In Canada, nuclear incidents have been classified as anticipated operational occurrences, design basis accidents, and beyond design basis accidents, including design extension conditions and severe accidents.*
- 2) *The requirements of this Standard do not apply, for example, to functions of SSC whose purpose is to*
 - a) *protect workers from conventional or nuclear hazards;*
 - b) *prevent, mitigate or monitor effluents resulting from the routine operation of water cooled NPPs;*
 - c) *satisfy safeguards requirements; or*
 - d) *prevent or mitigate malevolent acts.*

1.3 New and existing facilities

This Standard applies to both new and existing water-cooled NPPs.

Notes:

- 1) *“Existing NPPs” refers to NPPs initially licensed before 2019.*
- 2) *“New NPPs” refers to NPPs initially licensed after 2018.*
- 3) *This Standard notes where requirements might apply only to a new NPP or only to an existing NPP.*

1.4 Single and multi-reactor facilities

This Standard applies to NPPs with one or more water-cooled power reactors.

1.5 Pre-incident conditions

This Standard is limited to activities that are performed prior to the initiation of a nuclear incident.

Note: *This Standard does not address, for example, post-incident recovery actions or severe accident management guidance.*

1.6 Compliance with other standards

1.6.1

The requirements of this Standard do not supersede requirements in other CSA Standards.

Note: *For example, the requirements in Clause 6.5 of this Standard do not supersede the inspection and testing requirements in*

- a) *CSA N285.0; and*
- b) *CSA N293.*

1.6.2

The requirements of this Standard might augment the requirements of other CSA Standards.

Note: *For example, if a fire protection system is identified as a system important to safety (see Clause 5), then the requirements of this Standard might augment the requirements of CSA N293.*